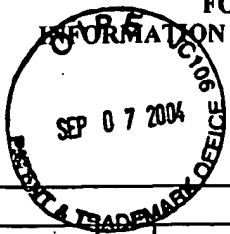
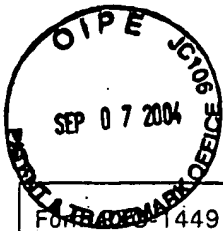


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<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b> 				<b>ATTY DOCKET NO.</b> 22940/2		<b>SERIAL NO.</b> 09/650,584	
				<b>APPLICANT(S):</b> Howard N. Straub			
				<b>FILING DATE:</b> August 30, 2000		<b>ART UNIT:</b> 3731	
<b>UNITED STATES PATENT DOCUMENTS</b>							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
VB	AA	6,673,111	01/06/2004	Baikoff	623	4.1	
	AB	6,682,560	01/27/2004	Baikoff	623	4.1	
	AC	6,692,524	02/17/2004	Baikoff	623	4.1	
VB	AD	6,712,847	03/30/2004	Baikoff et al.	623	4.1	
	AE						
	AF						
	AG						
	AH						
	AI						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N
<b>OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)</b>							
VB	✓	*Daniele et al., "Gelatin as an Absorbable Implant in Scleral Buckling Procedures," Arch Ophthal, 80:115-119 (1968)					
VB	✓	*Hashizoe et al., "Biodegradable Polymeric Device for Sustained Intravitreal Release of Ganciclovir in Rabbits," Current Eye Research, 112(10):633-639 (1997)					
VB	✓	*Mathews, "Scleral Expansion Surgery Does Not Restore Accommodation in Human Presbyopia" Ophthalmology, 106(5) 873-877 (1999)					
VB	✓	Mathews, "Scleral Expansion Surgery Does Not Restore Accommodation in Human Presbyopia" Ophthalmology 1999; 106:873-877(1999), J. Refractive Surgery, Abstracts With Comments by Elander, 15 (1999)					
VB	✓	*Schachar et al., "In Vivo Increase of the Human Lens Equatorial Diameter During Accommodation," American Journal of Physiology R670-R676 (1996)					
VB	✓	*Yang et al., "Scleral Expansion: A New Surgical Technique To Correct Presbyopia," Investigative Ophthalmology & Visual Science, Abstract 38(4) 2290 (1997)					
<b>Examiner:</b> <i>W. W. W.</i>				<b>Date:</b> 05/17/05			



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Form PTO/SB-1449 (Modified) List of Patents and Publications for Applicant's Information Disclosure Statement	Atty Docket No. RES-101A	Serial No. 09/656,584
	Applicant(s): Straub	
	Filing Date: August 30, 2000	Group:

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**Foreign Patent Documents**

Exam. Init.	Doc. Number	Date	Country	Class/Subclass	Translation Yes/No
VB	2,784,287	10/13/98	FR		no <i>Col</i>

EXAMINER: *MBM*

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Form PTO-1449 (Modified) List of Patents and Publications for Applicant's Information Disclosure Statement	Atty Docket No. RES-101	Serial No. 09/650,584
	Applicant(s): Straub	
	Filing Date: August 30, 2000	Group:

## U.S. Patent Documents

Exam. Init.	Patent Number	Issue Date	Inventor Name(s)	Class/Subclass	Filing Date
✓	4,439,198	3/27/84	Brightman, II et al.		7/9/81
	4,549,529	10/29/85	White		10/11/85
	4,863,457	9/5/89	Lee		4/22/44
	5,964,748	10/12/99	Peyman		12/7/95
	5,944,752	8/31/99	Silvestrini		9/17/97
	5,919,228	7/6/99	Hennig		6/27/96
	5,888,243	3/30/99	Silvestrini		6/6/95
	5,867,439	3/2/99	Lee		3/15/97
	5,855,604	1/5/99	Lee		4/1/97
	5,846,256	12/8/98	Mathis		6/5/95
	5,824,086	10/20/98	Silvestrini		9/20/96
	5,774,274	6/30/98	Schachar		5/12/95
	5,731,909	3/24/98	Schachar		6/4/96
	5,722,952	3/3/98	Schachar		6/5/95
	5,529,076	6/25/96	Schachar		6/5/95
	5,503,165	4/2/96	Schachar		6/5/95
	5,489,299	2/6/96	Schachar		10/22/93
	5,465,737	11/14/95	Schachar		1/30/95
✓	5,520,631	5/28/96	Norquist		7/22/94
✓	Re. 35,974	12/1/98	Davenport		4/10/96

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## U.S. Patent Documents

Exam.	Init.	Patent Number	Issue Date	Inventor Name(s)	Class/Subclass	Filing Date

## Foreign Patent Documents

Exam.	Init.	Doc. Number	Date	Country	Class/Subclass	Translation Yes/No
	VB	WO 94/18921	2/26/93	PCT	[abstract in English]	no 087
		WO 00/25703	11/3/98	PCT		Engl. 088
	V	WO 99/17684	10/8/97	PCT		Engl. 089
	VB	WO 99/17691	10/8/97	PCT		Engl. 090

## Other Information (including author, title, date, pertinent pages, etc.)

Ex. Init.	Description
VB	Schachar, "Cause and Treatment of presbyopia With a Method for Increasing the Amplitude of Accommodation," <i>Ann Ophthalmol</i> 24:445-452 (1992) 091
VB	Schachar et al., "Mathematic Proof of Schachar's Hypothesis of Accommodation," <i>Ann Ophthalmol</i> 25:5-9 (1993) 092

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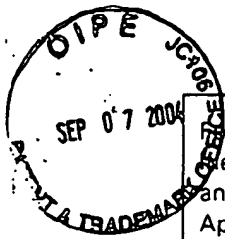
Ex. Init.	Description
VB	Schachar et al., "Experimental Support for Schachar's Hypothesis of Accommodation," <u>Ann Ophthalmol</u> 25:404-409 (1993) CP2
	Schachar et al., "A Physical Model Demonstrating Schachar's Hypothesis of Accommodation," <u>Ann Ophthalmol</u> 26:4-9 (1994) CP3
1	✓ Schachar, "Zonular Function: A New Hypothesis With Clinical Implications," <u>Ann Ophthalmol</u> 26:36-38 (1994) CP4
	Schachar et al., "The Effect of Gravity on the Amplitude of Accommodation," <u>Ann Ophthalmol</u> 26:65-70 (1994) CP5
	Schachar et al., "The Mechanism of Accommodation and Presbyopia in the Primate," <u>Ann Ophthalmol</u> 27:58-67 (1995) CP6
VB	Schachar et al., "The Mechanism of Ciliary Muscle Function," <u>Ann Ophthalmol</u> 27:126-132 (1995) CP7
VB	Schachar, "Histology of the Ciliary Muscle-Zonular Connections," <u>Ann Ophthalmol</u> 28:70-79 (1996) CP8
VB	Schachar et al., "Equatorial Diameter During Accommodation," <u>American Physiological Society</u> R670-R676 (1996)
VB	Yee et al., "Scleral Expansion: New Surgical Technique To Correct Presbyopia," <u>Investigative Ophthalmology &amp; Visual Science</u> , Vol.30(4), 5 (1997)
	✓ Glasser et al., "Presbyopia and the Optical Changes in the Human Crystalline Lens with Age," <u>Vision Res.</u> , 38:209-229 (1998)
	Schachar, "Pathophysiology of Accommodation and Presbyopia," <u>J.Florida M.A.</u> 81:268-271 (1994) CP9
VB	Schachar et al., "A Revolutionary Variable Focus Lens," <u>Annals of Ophthalmology</u> , 28:11-18 (1996) CP10

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Ex. Init.	Description
VB	Adler-Grinberg, "Questioning Our Classical Understanding of Accommodation and Presbyopia," <u>Am. J. Optometry &amp; Physiological Optics</u> , 63(7) 571-580 (1986)
	<del>Omi et al., "Modified Schochet Implant for refractory Glaucoma," <u>Ophthalmology</u>, 98:211-214 (1991)</del>
VB	Arons, "LASIK and PRK clinical results are hot topics at the RSIG and ISRS meetings," <u>Ocular Surgery News</u> , <a href="http://www.slackline.com/eye/osn/199901a/lasik.asp">http://www.slackline.com/eye/osn/199901a/lasik.asp</a> , January 1, 1999 <span style="float:right">CP11</span>
	Atchison, "Accommodation and Presbyopia," <u>Ophthal Physiol. Opt.</u> , 15(4):255-272 (1995) <span style="float:right">CP12</span>
	Banuelos et al., "Expandable Silicone Implants for Scleral Buckling," <u>Arch Ophthalmol.</u> , 89:500-502 (1973) <span style="float:right">CP13</span>
	Bernatchez et al., "Biocompatibility of a new semisolid bioerodable poly(ortho ester) intended for the ocular delivery of 5-fluorouracil," <u>J. Biomedical Materials Research</u> , 28:1037-1046 (1994) <span style="float:right">CP14</span>
	Billson et al., "Resiting Molteno Implant Tubes," <u>Ophthalmic Surgery and Lasers</u> , 27:801-803 (1996) <span style="float:right">CP15</span>
	Brockhurst, "Dystrophic Calcification of Silicone Scleral Buckling Implant Materials," <u>Am J. Ophthalmol</u> , 115:524-529 (1993) <span style="float:right">CP16</span>
	Brouillette et al., "Long-term results of modified trabeculectomy with Supramid implant for neovascular glaucoma," <u>Can. J. ophthalmol</u> , 22(5):254-256 (1987) <span style="float:right">CP17</span>
V	Cameron et al., "Clinico-histopathologic Correlation of a Successful Glaucoma Pump-shunt Implant," <u>Ophthalmology</u> , 95:1189-1194 (1988) <span style="float:right">CP18</span>
VB	Campbell et al., "Fluctuations of Accommodation under Steady Viewing Conditions," <u>J. Physiol.</u> , 145:579-594 (1959) <span style="float:right">CP19</span>

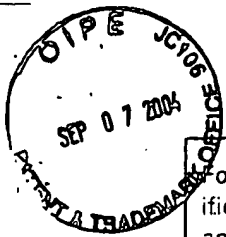
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Other Information (including author, title, date, pertinent pages, etc.)

Ex. Init.	Description
VB	Coleman et al., "Initial Clinical Experience with the Ahmed Glaucoma Implant," <u>Am. J. Ophth.</u> , 120:23-31 (1995) <i>CD20</i>
	Coleman et al., "Clinical Experience with the Ahmed Glaucoma Valve Implant in Eyes with prior or Current Penetrating Keratoplasties," <u>Am. J. Ophth.</u> , 123:54-61 (1997) <i>CD21</i>
	Colosi et al., "Intrusion of Scleral Implant Associated with Conjunctival Epithelial Ingrowth," <u>Am. J. Ophthalmol.</u> , 83:504-507 (1977) <i>CD22</i>
	Coltair et al., "Scleral pocket incision applied to insertion of the nut and bolt keratoprosthesis," <u>J. Cataract Refract Surg.</u> , 16:649-651 (1990) <i>CD23</i>
	Crucea et al., "[Artificial drainage devices in glaucoma]" <u>Oftalmologia</u> , 47(2):5-10 <i>abstract only</i> <i>CD24</i>
VB	Daniele et al. " Gelatin as an Absorbable Implant in Scleral Buckling procedured," <u>Arch Ophthal.</u> , 80:115-119 (1968)
	<del>Elander, "Scleral Expansion Surgery does not restore accommodation in human presbyopia," <u>J. Refract Surg</u> 15(5):604(1999)</del>
VB	Ellis, "Surgical Conquest of presbyopia; Are There Implications for Cataract and Glaucoma" <u>Refractive Surgery</u> , 38-44 (1999) <i>CD25</i>
	El-Sayyad, "The Use of Releasable Sutures in Molteno Glaucoma Implants to Reduce Postoperative Hypotony," <u>Ophthalmic Surgery</u> , 22:82-84 (1991) <i>CD26</i>
	Girard et al., "Scleral fixation of a subluxated posterior chamber intraocular lens," <u>J. Cataract Refract Surg.</u> , 14:326-327 (1988) <i>CD27</i>
	Hashizoe et al, "Implantable biodegradable polymeric device in the treatment of experimental proliferative vitreoretinopathy," <u>Curr Eye Res</u> 14(6):473-477 (1995) <i>CD28</i>
	Hashizoe et al, "Scleral plug of biodegradable polymers for controlled drug release in the vitreous," <u>Arch Ophthalmol</u> 112(10):1380-1384 (1994) <i>CD29</i>
VB	Hashizoe et al, "Biodegradable polymeric devices for sustained intravitreal release of glanciclovir in rabbits," <u>Current Eye Research</u> 112(10):633-639 (1997)

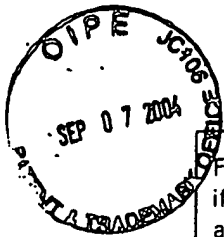
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Other Information (including author, title, date, pertinent pages, etc.)

Ex. Init.	Description	
VB	Hasty et al, "Primate Trabeculectomies with 5-fluorouracil Collagen Implants," <u>Am J Ophthalmol</u> 109:721-725 (1990)	Q230
	Hilton et al., "The Removal of Scleral Buckles," <u>Arch Ophthalmol</u> , 96:2061-2063 (1978)	Q231
	Ho et al, "The MAI hydrophilic implant for scleral buckling: a review." <u>Ophthalmic Surg.</u> (6):511-5 (1984).	Q232
	Jacklin et al, "Gelatin as an Absorbable Implant in Scleral Buckling Procedure," <u>Arch Ophthalmol</u> , 79:286-289 (1968)	Q233
	Jacob et al., "Synthetic scleral reinforcement materials. II Collagen types in the fibrous capsule," <u>J. Biomedical Materials Research</u> , 32:181-186 (1966)	Q234
	Krupin et al, "Filtering Valve Implant Surgery for Eyes with Neovascular Glaucoma," <u>Am J Ophthalmol</u> , 89:338-343 (1980)	Q235
	Krupin et al, "Long-Term Results of Valve Implants in Filtering Surgery Eyes with Neovascular Glaucoma," <u>Am J Ophthalmol</u> , 95:775-782 (1983)	Q236
	Krupin et al, "A Long Krupin-Denver Valve Implant Attached to a 180° Scleral Explant for Glaucoma Surgery," <u>Ophthalmol</u> , 95:1174-1180 (1988)	Q237
	Kimura et al., "A new Vitreal Drug Delivery System Using an Implantable Biodegradable Polymeric Device," <u>Investigative Ophthalmol and Visual Science</u> , 35:2815-2819 (1994)	Q238
	King et al., "Gelatin Implants in Scleral Buckling Procedures," <u>Arch Ophthalmol</u> , 93:807-811 (1975)	Q239
	Lambert et al., "Wedge Implant Used as an Explant," <u>Am J Ophthalmol</u> , 101:488-489 (1986)	Q240
VB	Lamberts et al., "A New Alloplastic Material for Ophthalmic Surgery," <u>Ophthalmic Surgery</u> . 9:35-42 (1978)	Q241

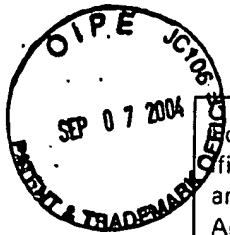
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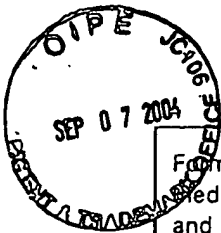
Ex. Init.	Description
VB	Law et al., "Retinal Complications after Aqueous Shunt Surgical Procedures for Glaucoma," <u>Arch Ophthalmol</u> , 114:1473-1480 (1996) <span style="float:right">CD42</span>
	Levit et al., "Use of Ophthalmic Gelfilm in retinal Surgery," <u>Ann Ophthalmol</u> , 16:13-1616 (December 1975) <span style="float:right">CD43</span>
	Lipner, "A Closer Look at Scleral Surgery," <u>Eyeworld</u> , (September 13, 1999) <a href="http://www.eyeworld.org/sep99/999p34.asp">http://www.eyeworld.org/sep99/999p34.asp</a> <span style="float:right">CD44</span>
	Lincoff et al., "The Changing Character of the Infected Scleral Implant," <u>Arch Ophthalmol</u> , 84:421 <i>et seq.</i> (1970) [Partial copy, full text to follow] <span style="float:right">CD45</span>
	Liu et al., Scleral Buckling with a Soft Xerogel Implant: II Experiments In Vivo," <u>Ophthalmic Surgery</u> , 10:52-56 (1979) <span style="float:right">CD46</span>
	Lloyd et al., "Initial Clinical Experience with Baerveldt Implant in Complicated Glaucomas," <u>Ophthalmology</u> , 101:650-640 (1994) <span style="float:right">CD47</span>
	Luttrull et al., "Pars Plana Implant and Vitrectomy for Treatment of Neovascular Glaucoma," <u>Retina</u> , 15:379-387 (1995) <span style="float:right">CD48</span>
	Luttrull et al., "Initial Experience with Pneumatically Stented baerveldt implant modified for Pars Plana Insertion in Complicated Glaucoma" <u>Ophthalmology</u> , 107:143-149 (2000) [Abstract only] <span style="float:right">CD49</span>
	Marin et al., "Long-term Complications of the MAI Hydrogel Intrasceral Buckling Implant," <u>Arch Ophthalmol</u> , 110:86-88 (1992) <span style="float:right">CD50</span>
	Mathews et al., "Scleral Expansion Surgery Does Not Restore Accommodation in Human Presbyopia," <u>Ophthalmology</u> , 106: 873-877 (1999) <span style="float:right">CD51</span>
	Melamed et al., "Molteno Implant Surgery in refractory Glaucoma," <u>Survey of Ophthalmology</u> , 34:441-448 (1990) <span style="float:right">CD52</span>
VB	Minckler et al., Clinical Experience with the Single-plate Molteno Implant in Complicated Glaucomas," <u>Ophthalmology</u> 95:1181-1188 (1988) <span style="float:right">CD53</span>

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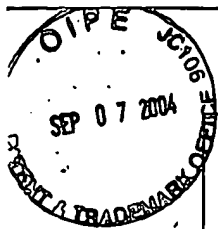
Ex. Init.	Description	
1/6	Miyamoto et al., "Biodegradable scleral implant for controlled release of flocanazole," <u>Current Eye Research</u> , 16:930-935 (1997)	C057
	Ocular Surgery News, "Presbyopia reversible in Pilot Studies," July 1, 1999; <a href="http://www.slackinc.com/eye/osn/199907a/presby.asp">http://www.slackinc.com/eye/osn/199907a/presby.asp</a>	C058
	Peiffer et al., "Long-term Comparative Study of the Schochet and Joseph Glaucoma Tube Shunts in Monkeys," <u>Ophthalmic Surgery</u> , 21:55-59 (1990)	C059
	Pruett, "The Fishmouth Phenomenon," <u>Arch Ophthalmol</u> , 95:1777-181 (1977)	C059
	Rabowsky et al., "The Use of bioerodeable polymers and daunorubicin in glaucoma filtration surgery," <u>Ophthalmology</u> , 103:800-807 (1996)	C058
	Ray et al., "Gelatin Implants in Scleral Buckling Procedures," <u>Arch Ophthalmol</u> , 93:799-802 (1975)	C059
	Refojo, "Polymers in Ophthalmic Surgery," <u>J.Biomed.Mater.Res.</u> , 5:113-119 (1971)	C060
	Refojo et al., "Experimental Scleral Buckling with a Soft Xerogel Implant," <u>Ophthalmic Surgery</u> , 9:43-50 (1978)	C051
	Riggs et al., "Intraocular silicone prostheses in a dog and a horse with corneal lacerations," <u>J. Am. Vet. Med. Assoc.</u> , 196:617-619 (1990)	C062
	Rohr et al., "Surgical Correction f Presbyopia," <u>J.Osteopathic College of Ophthalmology and Otorhinolaryngology</u> , 12:34-36 (2000)	C063
↓	Rubsamen et al., "Prevention of Experimental Proliferative Vitreoretinopathy with a Biodegradable Intravitreal Implant for the Sustained Release of Fluoroacil," <u>Arch Ophthalmol</u> , 112:407-413 (1994)	C064
1/6	Sakamoto et al., "Silicone Sponge Implant in Combination with Episcleral Implant for Retinal Surgery," <u>Ophthalmic Surgery</u> , 11:712-718 (1980)	C065

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Ex. Init.	Description	
VB	Sarkies et al., "Silicone Tube and Gutter in Advanced Glaucoma," <u>Trans. ophthalmol. Soc. U.K.</u> , 144:133-136 (1985)	Q26
	Schepens et al., "Scleral Implants: An Historic Perspective," <u>Survey of Ophthalmology</u> , 35:447-453 (1991)	Q27
	Sherwood et al., "Prevention of early hypotony associated with Molteno implant new occluding stent technique," <u>Ophthalmology</u> , 100:85-90 (1993).	Q28
	Sherwood et al., "Surgery for Refractory Glaucoma," <u>Arch Ophthalmol</u> , 105:562-569 (1987).	Q29
	Sidoti et al., "Epithelial Ingrowth and Glaucoma Drainage Implants," <u>Ophthalmol</u> , 101:872-875 (1994)	Q70
	Sidoti et al., "Aqueous Tube Shunt to a Pre-existing Episcleral Encircling Element in the Treatment of Complicated Glaucomas," <u>Ophthalmol</u> , 101:1036-1043 (1994)	Q71
	Smith et al., "One-year results of the intrascleral glaucoma implant," <u>J Cataract Refract Surg</u> , 21:453-456 (1995)	Q72
	Smith et al., "Comparison of the Double-Plate Molteno Drainage Implant with the Schochet Procedure," <u>Arch Ophthalmol</u> , 110:1246-1250 (1992).	Q73
	Speigel et al., "Anterior Chamber Tube Shunt to an Encircling Band (Schochet procedure) in the Treatment of Refractory Glaucoma," <u>Ophthalmic Surgery</u> , 12:804-807 (1992)	Q74
	Strubble et al. "In vitro low characteristics of the Amhed and self-constructed anterior chamber shunts," <u>Am.J.Vet.Res</u> 58:1332-1337 (1997)	Q75
	Sveinsson et al., "Trabeculectomy and gelatin implants," <u>Acta Ophthalmologica</u> , 70:645-650 (1992)	Q76
VB	Susanna, "Modifications of the Molteno Implants and implant Procedure," <u>Ophthalmic Surgery</u> , 22:611-613 (1991)	Q77

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14114

Form PTO-1449 (Modified) List of Patents and Publications for Applicant's Information Disclosure Statement	Atty Docket No. RES-101	Serial No. 09/650,584
	Applicant(s): Straub	
	Filing Date: August 30, 2000 TEG INNOVATION CENTER R3700	

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Other Information (including author, title, date, pertinent pages, etc.)

Ex. Init.	Description
VB	Szymański, "Scleral free auto-implant plug with mitomycin as limitation of trepanosclectomy flow in glaucoma filtering surgery," <u>International Ophthalmology</u> , 20:89-94 (1997) CP78
	Tanji et al., "Fascia Lata Patch Graft in Glaucoma Tube Surgery," <u>Ophthalmology</u> , 103:1309-1312 (1996) CP79
	Tawakol et al., "Gore-Tex™ Soft Tissue Bands as Scleral Explants in Rabbits: A Preliminary Histologic Study," <u>Ophthalmic Surgery</u> , 20:199-201 (1989) CP80
	Watzke, "Scleral Patch Graft for Exposed Episcleral Implants," <u>Arch Ophthalmol</u> , 102:114-115 (1984) CP81
	Wilson, "New hope for presbyopia: PMMA scleral bands show promise," <u>Eyeworld</u> , (1999) <a href="http://www.eyeworld.org/apr98/963.html">http://www.eyeworld.org/apr98/963.html</a> CP82
	Wilson et al., "Aqueous Shunts - Molteni versus Schocket," <u>Ophthalmology</u> , 99:672-678 (1992) CP83
	Wilson-Holt et al., "Hypertrophy following insertion of inferiorly sited double-plate Molteno tubes," <u>Eye</u> , (Pt 5):515-20 (1992) [Abstract only] CP84
VB	Yoshizumi, "Exposure of Intrasccleral Implants," <u>Ophthalmology</u> , 87:1150-1154 (1980) CP85
VB	Yoshizumi, "Erosion of Implants in Retinal Detachment Surgery" <u>Annals of Ophthalmology</u> , 87:430-434 (1983) CP86

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